DIRECTORATE OF DISTANCE EDUCATION
NALSAR UNIVERSITY OF LAW, HYDERABAD

M.A. (Corporate Law)
Advanced Diploma in Corporate Law

Academic Year: 2024-2025

Second Semester Repeat Examination (May, 2025)

1.2.6.Capital Markets

Duration of the Examination: 2 ½ hours **Total Marks : 70 marks**

INSTRUCTIONS TO CANDIDATES

a) **Read the instructions carefully and adhere to the same.**
b) **Please mention your DDE ID No., date of the exam, name of the programme & subject on the Answer Sheet and the Additional Answer Sheets, if any.**
c) **The questions to be interpreted as given and no clarification can be sought from the Invigilator.**
d) **Clearly indicate the question numbers while answering them. Answers without question numbers / wrong question numbers will not be evaluated.**
e) **Write the answers clearly and neatly. Answers in illegible handwriting will not be taken into consideration.**
f) **Candidates are prohibited to carry phones or any other electronic devices, books, material etc. in the Examination Hall.**
g) **Copying is strictly prohibited and is considered as a serious academic mis-conduct and the University will take action as it deems fit.**

Section – A

Answer FIVE questions out of SEVEN questions and each question carries 10 marks. **(5 x 10 marks = 50 marks)**

1. Explain the concept of ‘control’ under the takeover code.

2. Elucidate the various types of stock exchanges and write a note on how SEBI regulates the exchanges in India.

Read the following and answer questions 3 and 4:

Conomi Ltd. (Conomi), is a listed company engaged in the business of art restoration and preservation. In the past three years, it showed great potential and made profits. In an attempt to acquire advanced technology to expand the business, Conomi is trying to find source of funds.

3. Can Conomi try for private placement of funds? Explain the relevant criteria and procedure to go forward.

4. If Conomi wants to raise funds by preferential allotment, can it do? Explain the relevant criteria and procedure to go forward.

5. Enumerate the key features and significance of LODR regulations

6. Examine the role of capital market intermediaries and explain the scope of regulation of the intermediaries.

7. What is sweat equity? Explain the eligibility criteria for the issuance of sweat equity.

Section – B

Write short notes on any FOUR questions out of SIX questions and each question carries 05 marks. (4 x 5 marks = 20 marks)

A) Stock split

B) Social Stock Exchange

C) Powers of SEBI

D) Delisting of shares

E) Types of take-over

F) ESOP